

# PRIVACY POLICY

Christensen King & Associates Investment Services, Inc. is committed to maintaining the confidentiality, integrity, and security of personal information entrusted to us by our clients and former clients.

## **How and Why We Gather Information**

We use the personal information furnished by you to set up your accounts with Charles Schwab & Co., to process transactions in your account, respond to inquiries from you, and to fulfill legal and regulatory requirements. We may collect nonpublic personal information about you from sources such as:

- Information reported by you on applications or other forms you provide to us
- Information about your transactions with us, our affiliates, or others
- From other sources with your consent

## **How We Protect Your Information**

We do not disclose any nonpublic personal information about our clients or former clients to anyone, except as permitted by law. Unless a client has specifically authorized us to do so, we do not disclose your personal information to others except in limited circumstances:

- We disclose personal information with Christensen King PC CPAs (information needed to complete tax returns or in providing financial planning services)

- We disclose personal information to companies that help us process or service your transactions or accounts (such as Charles Schwab & Co. or others as applicable)
- We may disclose or report personal information in limited circumstances where we believe in good faith that disclosure is required by law, for example, to cooperate with regulators or law enforcement authorities

Christensen King & Associates Investment Services, Inc. will internally safeguard your nonpublic personal information by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic and procedural safeguards to guard your nonpublic personal information.

### **Privacy Online**

Clients that utilize online account access from the Charles Schwab website should refer to the security measures Schwab uses to keep your personal and financial information safe online. See [www.schwaballiance.com](http://www.schwaballiance.com) . Christensen King & Associates Investment Services, Inc. does not have access to the User IDs and passwords which must be set up by the clients directly with Schwab.

Should you have any questions about the privacy and protection of your records, please contact us and we will be happy to discuss this matter with you.